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11 **UNITED STATES DISTRICT COURT**
12 **NORTHERN DISTRICT OF CALIFORNIA**
13 **SAN JOSE DIVISION**

14 UNITED STATES OF AMERICA,

15 *Plaintiff,*

16 vs.

17 HEWLETT PACKARD ENTERPRISE CO.
18 and JUNIPER NETWORKS, INC.,

19 *Defendants.*

) CASE NO. 5:25-cv-00951-PCP

) **MOTION FOR INTERVENTION**

) Judge: P. Casey Pitts

) Action Filed: January 30, 2025

) Hearing Requested: TBD

20
21 The Attorneys General for the States of Colorado, California, Connecticut, Hawaii,
22 Illinois, Massachusetts, Minnesota, North Carolina, New York, Oregon, Washington, Wisconsin,
23 and the District of Columbia (the “States”) hereby move for intervention into this case pursuant
24 to Federal Rule of Civil Procedure 24 and 15 U.S.C. § 16. The States have notified the parties to
25 this case of this Motion, but as of filing, have not been advised of the parties’ position on this
26 Motion. The States will further confer with the parties on a mutually convenient hearing date.

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INTRODUCTION

The Attorneys General for the States of Colorado, California, Connecticut, Hawaii, Illinois, Massachusetts, Minnesota, North Carolina, New York, Oregon, Washington, Wisconsin, and the District of Columbia (collectively, the “States”), move to intervene in this litigation to participate in the Court’s review of a settlement under the Tunney Act that was reportedly the product of undue influence by well-connected lobbyists. Rather than address the anticompetitive harm alleged in the United States’ Complaint and its pretrial brief, the settlement accepts what appear to be wholly deficient remedies relative to the harm pled in the Complaint. As sovereigns and joint enforcers of the federal antitrust laws, the States have a strong interest in ensuring that antitrust settlements are in the public interest and in preventing unlawful mergers from harming their citizens.

Pursuant to the Tunney Act, 15 U.S.C. § 16(e), all antitrust settlements proposed by the United States must be independently reviewed by a court to “determine that the entry of such judgment is in the public interest.” If there were ever a settlement that demanded a thorough investigation and an evidentiary hearing under the Tunney Act, it is this one. Two senior Antitrust Division leaders were apparently fired for their opposition to the Settlement.¹ One of them is former Principal Deputy Assistant General Roger Alford, who has since publicly said:

[I]t is my opinion that in the HPE/Juniper merger scandal [the DOJ’s then-Chief of Staff] Chad Mizelle and [Associate Attorney General nominee] Stanley Woodward perverted justice and acted inconsistent with the rule of law . . . Although the Tunney Act has rarely served its intended purpose, this time the court may demand extensive discovery and examine the surprising truth of what happened. I hope the

¹ Hannah Rabinowitz & David Goldman, *Justice Department Fires Two Antitrust Attorneys, Alleging Insubordination*, CNN (July 29, 2025), <https://www.cnn.com/2025/07/29/politics/justice-department-fires-two-senior-antitrust-attorneys>.

1 court blocks the HPE/Juniper merger. If you knew what I knew, you would hope
2 so too.²

3 Mr. Alford also characterized the Settlement as a violation of the rule of law in favor of
4 the rule of lobbyists. Public reporting similarly indicates that the settlement was not driven by
5 antitrust concerns but was instead the product of improper influence on high-level DOJ officials.
6 If the public reports and Mr. Alford’s comments about the process that led to the settlement are
7 true, then the settlement must be rejected.

8 The substantive terms of the Settlement are also facially inadequate. The structural
9 remedy requiring HPE to divest its Instant On business—which, according to public statements
10 by the HPE CEO, is targeted at small businesses—does not appear to address the harms alleged
11 in the Complaint and the United States’ pretrial brief, which focused on HPE’s Aruba business
12 line. The other key term of the Settlement, which requires HPE to license Juniper’s AIOps Mist
13 source code, likewise appears inadequate because it applies to only a small portion of the Mist
14 code. In light of the significant concerns surrounding the process and substance of the
15 Settlement, this Court should apply its authority under the Tunney Act to order an evidentiary
16 hearing in which these States can appear as parties.³

17 The States making this motion also were signatories to a public comment to the DOJ
18 opposing the Settlement. As detailed below, the States are entitled to intervention of right under
19 Rule 24(a) because of their interests in this case, which are no longer adequately represented by
20 the United States. The States also meet the requirements for permissive intervention under Rule
21 24(b). The States are well-positioned to intervene in light of their role as sovereigns and joint
22 enforcers of the antitrust laws. Without the States’ intervention, the only parties will be those that
23 support the settlement, and the Court would hear a one-sided argument. Intervention would also

24 ² Roger P. Alford, *The Rule of Law Versus the Rule of Lobbyists*, Remarks before Technology
25 Policy Institute Aspen Forum, 3-4 (Aug. 18, 2025), [https://techpolicyinstitute.org/wp-](https://techpolicyinstitute.org/wp-content/uploads/2025/08/TPI-Aspen-Final.pdf)
26 [content/uploads/2025/08/TPI-Aspen-Final.pdf](https://techpolicyinstitute.org/wp-content/uploads/2025/08/TPI-Aspen-Final.pdf).

27 ³ Although evidentiary hearings under the Tunney Act are rare, they are authorized by the statute
28 and have been held before. See 15 U.S.C. § 16(f); *United States v. CVS Health Corp.*, No. 18-
cv-2340, 2019 WL 2085718, at *1 (D.D.C. May 13, 2019) (ordering evidentiary hearing).

1 give the States an efficient path forward to challenge the merger, should it be necessary.

2 **BACKGROUND**

3 The United States filed its Complaint on January 30, 2025, seeking to block outright the
4 merger between Hewlett Packard Enterprise Co. (“HPE”) and Juniper Networks, Inc.
5 (“Juniper”). In the months that followed, the United States vigorously litigated the case,
6 conducting fact and expert discovery, and readying the case for trial, including the filing of
7 pretrial briefs. As the Court is aware, in its pretrial brief, the United States previewed significant
8 and persuasive evidence and expert analysis demonstrating that HPE’s acquisition of Juniper, “a
9 maverick—an aggressive competitor that exerts outsize influence on industry pricing and
10 innovation,” threatens to substantially lessen competition in the market for enterprise-grade
11 WLAN solutions. United States’ Pretrial Brief at 9 (June 30, 2025) (Dkt. No. 221). This
12 included (i) evidence of fierce head-to-head competition between the merging parties, including
13 HPE losing business to Juniper, HPE lowering prices to better compete with Juniper, and HPE
14 launching a “Kill Mist” campaign internally; (ii) uncontested market share analysis showing that
15 HPE, Juniper, and another competitor, Cisco, control over 75% of the highly concentrated
16 relevant market, therefore making this a presumptively unlawful 3-to-2 merger; (iii) uncontested
17 evidence that the merger is presumptively unlawful due to market concentration; and (iv)
18 economic analysis estimating that the merger would raise prices by 3-6% on HPE products and
19 7-14% on Juniper products. *Id.* at 7-11 (June 30, 2025) (Dkt. No. 221).

20 Then, despite this significant evidence, and less than two weeks before trial, the United
21 States abruptly settled the case under suspicious circumstances and on bizarre terms that demand
22 close scrutiny. The terms, in brief, simply do not line up with the anticompetitive effects alleged
23 by the United States only days before in its pretrial brief. In fact, the United States’ Competitive
24 Impact Statement does not argue that the Settlement will prevent higher prices for consumers or
25 that it will alleviate the unlawful market concentration created by this merger.

26 In accordance with the Tunney Act procedures, the Proposed Final Judgment was filed
27 with the Court on June 27, 2025, (the “Settlement”) and was published in the Federal Register on

1 July 10, 2025. The filing in the Federal Register triggered a 60-day public comment period,
2 which ended on September 8, 2025.⁴

3 In the aftermath of the Settlement announcement, public reporting emerged alleging that
4 the Settlement was the product of undue influence by Defendants' well-connected lobbyists who
5 engaged in secretive backroom dealing with higher level officials at the DOJ, including then-
6 Chief of Staff Chad Mizelle⁵ and Acting Associate Attorney General Stanley Woodward. *See*
7 Ex. 1 at 2. It was also reported that the antitrust attorneys at DOJ working on this case, including
8 Assistant Attorney General Gail Slater (the head of the DOJ Antitrust Division), her direct
9 reports, and her staff opposed the Settlement. *Id.* at 6. Ms. Slater reportedly pleaded with
10 Defendants to stop using lobbyists to go over her head and was concerned that the Settlement
11 would not pass muster under the Tunney Act. *Id.* Nevertheless, Mr. Mizelle reportedly pushed
12 the Settlement through over the Antitrust Division's objection. *Id.*

13 The DOJ then fired two of Ms. Slater's top deputies—Roger Alford (Principal Deputy
14 Assistant Attorney General) and William Rinner (Deputy Assistant Attorney General for Civil
15 Enforcement)—over their opposition to the Settlement. *Id.* at 7.

16 The most shocking revelation came on August 18, 2025, when Mr. Alford gave a speech
17 lambasting the Settlement as a “scandal” and a perversion of justice perpetrated by Mr. Mizelle
18 and Mr. Woodward.⁶ In particular, Mr. Alford stated:

19 [I]n the HPE/Juniper merger scandal Chad Mizelle and Stanley Woodward
20 perverted justice and acted inconsistent with the rule of law. I am not given to

21
22 ⁴ The States submitted a public comment to the DOJ opposing the Settlement on September 5,
23 2025. A copy of that public comment is submitted herewith as Exhibit 1 to the Declaration of
24 Arthur Biller in Support of Motion for Intervention. The public comment (cited throughout as
25 Exhibit 1) contains citations to various public reports about the process that led to the Settlement
26 and the apparent internal turmoil at the DOJ that ensued.

27 ⁵ It has been publicly reported that Mr. Mizelle resigned from this position on September 23,
28 2025. *See, e.g.,* Paula Reld, et al., *Attorney general's chief of staff to depart Justice Department*
following tumultuous few months, CNN (Sept. 23, 2025),
<https://www.cnn.com/2025/09/23/politics/chad-mizelle-pam-bondi-doj>.

⁶ Alford, *supra* note 2, at 1. A copy of Mr. Alford's remarks is attached to the States' public
comment as Exhibit A.

1 hyperbole, and I do not say that lightly. As part of the forthcoming Tunney Act
2 proceedings, it would be helpful for the court to clarify the substance and the
3 process by which the settlement was reached. Although the Tunney Act has rarely
4 served its intended purpose, this time the court may demand extensive discovery
5 and examine the surprising truth of what happened. I hope the court blocks the
6 HPE/Juniper merger. If you knew what I knew, you would hope so too. Someday I
7 may have the opportunity to say more.

8 Ex. 1 at Ex. A p. 3-4.

9 Mr. Alford added that the Settlement was the product of the “rule of lobbyists,” rather
10 than the rule of law. *See* Ex. 1 at Ex. A p. 3-4. He further stated, “it would be helpful for the
11 court to clarify the substance and the process by which the settlement was reached . . . and
12 examine the surprising truth of what happened.” *Id.*

13 The terms of the Settlement are also of great concern. The Settlement has two
14 substantive components: (1) a divestiture of HPE’s Instant On business line, and (2) a license for
15 Juniper’s AIOps Mist source code. Neither addresses the harm alleged in the United States’
16 Complaint and its pretrial brief. As to the first component, HPE’s Instant On business appears
17 irrelevant, because it is directed to small businesses, whereas the litigation focused on HPE’s
18 Aruba business line directed at large enterprises. Instant On is not mentioned anywhere in the
19 Complaint or the pretrial briefing, which focus exclusively on the Aruba platform. *See generally*
20 Complaint (Jan. 30, 2025) (Dkt. No. 1) (no mention of Instant On); United States’ Pretrial Brief
21 (June 30, 2025) (Dkt. No. 221) (same); *see also* Defs.’ Pretrial Brief at 8 (July 1, 2025) (Dkt. No.
22 222) (“HPE offers both on-prem and cloud-based WLAN solutions under its Aruba brand.”).
23 HPE’s CEO confirmed the irrelevance of this divestiture when explaining it to HPE’s investors,
24 explaining that Instant On is “a distinct offering separate from the traditional HPE Aruba
25 platform and Aruba Central,” “was specifically designed to serve the small business segment,”
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1 and “represents a small portion of our overall business.”⁷

2 The second component likewise appears to fail to remedy the merger’s harm. Here again,
3 HPE’s CEO celebrated HPE’s minimal concession, explaining that HPE would have to license
4 only a “portion” of Mist’s code.⁸ The Settlement apparently contemplates that this license will
5 only fetch about \$8 million—and yet HPE is paying **\$14 billion** to buy Juniper, and Juniper’s
6 WLAN revenues were \$411 million in 2023. Proposed Final Judgment at 12 (June 27, 2025)
7 (Dkt. 217-1); Defs.’ Pretrial Brief at 8 (July 1, 2025) (Dkt. 222). Clearly, whatever the code is
8 that is being licensed has little to do with Juniper’s value. And in light of the high barriers to
9 entry identified by the United States in its pretrial brief, there is no reason to believe that this
10 license will lower those barriers or somehow enable competition. United States’ Pretrial Brief at
11 13 (June 30, 2025) (Dkt. No. 221); *see also* Complaint ¶¶ 52–54 (Jan. 30, 2025) (Dkt. No. 1).

12 Having reviewed the public reporting, Mr. Alford’s stunning remarks, and the Settlement
13 itself, the States (along with several other State Attorneys General) submitted a public comment
14 opposing the Settlement. *See* Ex. 1. The comment explained that, if public reporting is true, the
15 Settlement is not in the public interest and violates the core principles of the Tunney Act because
16 of the process that led to it. It also contends that the substance of the Settlement heightens
17 concerns that it is not in the public interest because it fails to address the harms of the merger
18 alleged in the Complaint and the United States’ Pretrial Brief.

19 The States now seek to intervene to protect their sovereign interest in shielding their
20 citizens from violations of the antitrust laws, including by (i) participating in all proceedings
21 pursuant to the Court’s Tunney Act review authority, which should include discovery into the
22 Settlement and the process that led to it, an evidentiary hearing, and a mandatory pause in
23

24 ⁷ *HPE/Juniper: As Fight Between DOJ Leadership and Antitrust Division Broils, Tunney Act*
25 *Proceeding Looms*, The Capitol Forum (July 24, 2025) (quoting HPE CEO comments on a July
26 10, 2025, call with investors), [https://thecapitolforum.com/hpe-juniper-as-fight-between-doj-](https://thecapitolforum.com/hpe-juniper-as-fight-between-doj-leadership-and-antitrust-division-broils/)
27 [leadership-and-antitrust-division-broils/](https://thecapitolforum.com/hpe-juniper-as-fight-between-doj-leadership-and-antitrust-division-broils/).

28 ⁸ *HPE CEO: We can offer true client-to-cloud networking solutions with Juniper deal*, CNBC
(July 2, 2025), [https://www.cnbc.com/video/2025/07/02/hpe-ceo-we-can-offer-true-client-to-](https://www.cnbc.com/video/2025/07/02/hpe-ceo-we-can-offer-true-client-to-cloud-networking-solutions-with-juniper-deal.html)
[cloud-networking-solutions-with-juniper-deal.html](https://www.cnbc.com/video/2025/07/02/hpe-ceo-we-can-offer-true-client-to-cloud-networking-solutions-with-juniper-deal.html).

1 integration of the merging entities pending the outcome of those proceedings; and (ii) as
2 necessary, and as determined by each State, to pursue a claim for relief to block Defendants'
3 merger.

4 Upon becoming parties, the States would proceed efficiently toward an evidentiary
5 hearing on the Settlement. The States would issue straightforward initial discovery requests, for,
6 among other things, (i) the unredacted pretrial materials, including filings under seal, deposition
7 transcripts, expert reports exchanged between the parties and documents cited in those materials,
8 and planned trial exhibits; and (ii) communications, documents, and information exchanged
9 between Defendants or their representatives and the DOJ during settlement discussions. These
10 discovery requests would not burden any party because the documents in category (i) are readily
11 identified and were already exchanged between the parties and the documents in category (ii) are
12 also a readily identifiable set of documents shared between a limited number of known
13 participants. This discovery would allow the States to determine what, if any, further discovery
14 should be conducted and to offer the Court more specific suggestions on how the evidentiary
15 hearing should be conducted.

16 **ARGUMENT**

17 “Rule 24 traditionally has received a liberal construction in favor of applicants for
18 intervention.” *Wash. State Bldg. & Constr. Trades Council, AFL-CIO v. Spellman*, 684 F.2d
19 627, 630 (9th Cir. 1982). The States have three independent grounds for intervention. First, the
20 States are entitled to intervention of right pursuant to Rule 24(a)(2). The States have an interest
21 in ensuring a thorough Tunney Act review and in rejection of settlements reached by the federal
22 government that are not in the public interest. The States also have an interest in blocking
23 anticompetitive mergers, which harm their citizens.

24 Second, the States meet the requirements for permissive intervention under Rule
25 24(b)(2)(A) because the States are government entities charged with enforcement of Section 7 of
26 the Clayton Act, which is the same claim at issue here, and have a strong interest in ensuring
27 antitrust settlements are free from the taint of corruption. Third, the States qualify for permissive
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1 intervention under Rule 24(b)(1) because their interest in enforcing the antitrust laws, including
2 the Tunney Act review, shares common questions of law and fact with those already present in
3 this action.

4 **I. The States Have a Right to Intervene Pursuant to Rule 24(a)(2)**

5 Rule 24(a)(2) confers a mandatory right to intervene on anyone who “claims an interest
6 relating to the property or transaction that is the subject of the action, and is so situated that
7 disposing of the action may as a practical matter impair or impede the movant’s ability to protect
8 its interest, unless existing parties adequately represent that interest.” Fed. R. Civ. P. 24(a)(2).
9 The Ninth Circuit applies a four-part test for this intervention of right: “(1) the applicant’s
10 motion must be timely; (2) the applicant must assert an interest relating to the property or
11 transaction which is the subject of the action; (3) the applicant must be so situated that without
12 intervention the disposition of the action may, as a practical matter, impair or impede his ability
13 to protect that interest; and (4) the applicant’s interest must be inadequately represented by the
14 other parties.” *Smith v. Pangilinan*, 651 F.2d 1320, 1323-24 (9th Cir. 1981).

15 In evaluating motions for intervention of right, courts must “follow ‘practical and
16 equitable considerations’ and construe the Rule ‘broadly in favor of proposed intervenors,’”
17 because a “liberal policy in favor of intervention serves both efficient resolution of issues and
18 broadened access to the courts.” *Wilderness Soc’y v. U.S. Forest Serv.*, 630 F.3d 1173, 1179 (9th
19 Cir. 2011) (quotations omitted).

20 The States satisfy the requirements for intervention of right and therefore must be granted
21 intervention.

22 **First**, the motion is timely. The Ninth Circuit has ruled that timeliness depends on three
23 factors: ““(1) the stage of the proceeding at which an applicant seeks to intervene; (2) the
24 prejudice to other parties; and (3) the reason for and length of the delay.” *Kalbers v. United*
25 *States Dep’t of Justice*, 22 F.4th 816, 822 (9th Cir. 2021) (quoting *Smith v. L.A. Unified Sch.*
26 *Dist.*, 830 F.3d 843, 854 (9th Cir. 2016)). Each factor is assessed “by reference to the ‘crucial
27 date’ when proposed intervenors should have been aware that their interests would not be

1 adequately protected by the existing parties,” and “*not the date it learned of the litigation.*” *Id.* at
2 822, 823 (quotations omitted); *see also Cameron v. EMW Women’s Surgical Ctr., P.S.C.*, 595
3 U.S. 267, 279-80 (2022) (allowing Kentucky Attorney General to intervene to defend
4 constitutionality of state statute after state agency decided to stop defending statute). As a result,
5 timeliness must be “determined from all the circumstances” and “the point to which [a] suit
6 has progressed is . . . not solely dispositive.” *Cameron*, 595 U.S. at 279 (quoting *NAACP v.*
7 *New York*, 413 U.S. 345, 365-66 (1973)).

8 Here, the States reasonably believed their interests were protected until the United States
9 entered into a Settlement that facially fails to address the competitive concerns outlined in the
10 United States’ own Complaint and that seems to have been achieved through undue influence.
11 Once public reporting emerged in August 2025 that the Settlement may have been the product of
12 improper influence against the public interest, it became clear that the States’ interests would no
13 longer be adequately protected by the United States. The States then promptly moved to protect
14 their interests. The States first submitted a public comment to the DOJ in September 2025
15 pursuant to the Tunney Act to lodge their objection to the Settlement, and are now moving to
16 intervene. This motion is being filed before the DOJ has completed its required actions under
17 the Tunney Act—namely, filing and publication of all of the public comments received and the
18 DOJ’s responses thereto. The States have taken all these steps in less than three months from
19 when the DOJ first published the Settlement in the Federal Register. *See United States v. Aerojet*
20 *Gen. Corp.*, 606 F.3d 1142, 1149 (9th Cir. 2010) (applicants acted promptly by submitting
21 comments, filing FOIA request, and moving to intervene within four months of learning of
22 proposed consent decree in CERCLA litigation). Intervention now will allow the States to
23 timely participate in the process the Court will use to determine whether to approve or reject the
24 Settlement.

25 **Second**, the States have an interest relating to the transaction that is the subject of the
26 action. The States are sovereign and joint enforcers of the federal antitrust laws along with the
27 federal government. *See, e.g., California v. Am. Stores Co.*, 495 U.S. 271, 284 (1990) (state

1 enforcement of federal antitrust laws “was an integral part of the congressional plan for
2 protecting competition”); *New York v. Deutsche Telekom AG*, 439 F. Supp. 3d 179, 225 n.21
3 (S.D.N.Y. 2020) (permitting states to challenge merger notwithstanding that DOJ had reached a
4 settlement and rejecting notion that federal government “represent[s] the national public interest
5 more so than any state”). Specifically, the States have a mandate to protect their citizens from
6 antitrust violations, including violations of Section 7 of the Clayton Act and therefore have
7 standing to bring a claim to seek injunctive relief against this merger and to protect their citizens
8 from the merger’s anticompetitive effects. 15 U.S.C. §§ 18, 26. That is the same claim that the
9 United States asserted in the Complaint.

10 Moreover, the States have an interest in ensuring that settlements reached by the United
11 States in antitrust cases are in the public interest. States sometimes join the United States in
12 antitrust litigation and sometimes proceed independently; States likewise may settle cases with or
13 without the United States. States do not join every antitrust case the United States files, and may
14 not file suit separately, even when the case implicates markets or conduct in their State. In those
15 instances, States have historically relied on the United States to uphold its duty to the public and
16 litigate or resolve those cases on the merits, consistent with the public interest. The States
17 therefore have a legitimate interest in verifying whether the Settlement is in the public interest,
18 which includes discovery into the process that led to the settlement to confirm whether public
19 reporting is accurate. And if public reports are accurate, the States are determined to present the
20 evidence to the Court to urge that the Settlement be rejected. Without State intervention, as a
21 practical matter, the Court may only hear from one side—that of the settling parties that desire
22 the settlement to proceed.

23 This interest also extends to protecting the States’ citizens from a merger whose
24 anticompetitive effects seem unremedied by a tainted settlement. The United States’
25 Competitive Impact Statement does not argue that the Settlement will prevent higher prices for
26 consumers or that it will alleviate the unlawful market concentration created by this merger. *See*
27 Competitive Impact Statement (June 27, 2025) (Dkt. No. 217-2).

1 **Third**, disposition of the action without intervention may, as a practical matter, impair or
2 impede the States’ ability to protect their interests. The States have an interest in shedding light
3 on the process that led to the Settlement, determining whether it is in the public interest, and if it
4 is not, advocating for its rejection. That interest can only be satisfied here, not in any other
5 litigation, because only this Court is empowered by the Tunney Act to review and reject the
6 Settlement on public interest grounds. That interest would therefore be impeded if the States are
7 not allowed to intervene.

8 Further, the States’ understanding is that HPE and Juniper are currently integrating their
9 operations, making it more difficult (though not impossible) to unwind the transaction.
10 Intervention now would allow the States to seek modification of the parties’ stipulated hold
11 separate order to freeze any further integration and preserve the status quo while the Court
12 conducts its Tunney Act review. The States can obtain that relief more quickly in this case than
13 in a separately filed case because this case was on the precipice of trial and any necessary
14 evidence for temporary or permanent relief can be quickly obtained by the States if permitted to
15 intervene.

16 **Fourth**, the parties inadequately represent the States’ interest. On this point, courts
17 “examine whether existing parties’ interests are such that they will make all of the arguments the
18 applicants would make.” *United States v. Oregon*, 839 F.2d 635, 638 (9th Cir. 1988) (citation
19 omitted). An applicant need only “show[] that representation of his interest ‘may be’
20 inadequate,” and the burden of such showing is “minimal.” *Smith*, 651 F.2d at 1325 (quoting
21 *Trbovich v. United Mine Workers of Am.*, 404 U.S. 528, 538 n.10 (1972)). The existing parties
22 will make none of the arguments the States will make, and are now directly opposed to the
23 States. Both the United States and Defendants are parties to the Settlement and will advocate for
24 its approval. *See Aerojet*, 606 F.3d at 1153 (reversing denial of intervention where EPA, having
25 settled, had “an interest in securing approval of the decree,” which interests were “directly
26 opposed” to those of the movants). The parties also have every incentive to shield the process
27 that led to the Settlement from discovery to prevent the public from learning what happened,
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1 which is directly contrary to the Tunney Act’s purpose of bringing “sunshine” to antitrust
2 settlements. Without the States’ intervention, the Court and the public may never fully learn
3 how this Settlement occurred.

4 The States’ interest in protecting its citizens from the anticompetitive effects of the
5 merger are likewise not adequately represented, as the United States will argue in favor of the
6 Settlement rather than an appropriate remedy or outright injunction.

7 Because the States meet the conditions of intervention of right, the Court should grant
8 intervention on this basis.

9 **II. The States Also Satisfy the Conditions for Permissive Intervention.**

10 In addition to having a right to intervene, the States also qualify for permissive
11 intervention under Rule 24(b)(2) and Rule 24(b)(1)(B).

12 **A. The States Are Entitled to Permissive Intervention Because They Are Sovereigns**
13 **Charged with Joint Enforcement of the Clayton Act.**

14 Under Rule 24(b)(2), a “court may permit a . . . state governmental officer or agency to
15 intervene if a party’s claim or defense is based on: (A) a statute or executive order administered
16 by the officer or agency.” Fed. R. Civ. P. 24(b)(2). “It is a significant fact that the applicant for
17 permissive intervention is a government official.” *Nuesse v. Camp*, 385 F.2d 694, 704 (D.C. Cir.
18 1967). Accordingly, Rule 24(b)(2) “considers the governmental application with a fresh and
19 more hospitable approach.” *Id.* at 705. Permissive intervention for a government official is
20 generally approved when “an aspect of the public interest with which he is officially concerned
21 is involved in the litigation.” *Id.* at 706.

22 Here, the movants are government officers (State Attorneys General) charged with
23 enforcing Section 7 of the Clayton Act and protecting their citizens from illegal mergers and
24 anticompetitive harms. *Am. Stores*, 495 U.S. at 284 (authority given to State Attorneys General
25 “was an integral part of the congressional plan for protecting competition”). That is all Rule
26 24(b)(2) requires.

27 In determining whether to allow permissive intervention, a court must also “consider
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1 whether the intervention will unduly delay or prejudice the adjudication of the original parties’
2 rights.” Fed. R. Civ. P. 24(b)(3).

3 There will be no undue delay or prejudice here. The Court must undertake a Tunney Act
4 analysis and the States’ participation will aid the Court in that analysis through targeted
5 discovery and presentation of evidence to the Court. Without State intervention, the Court may
6 only hear a one-sided view of the evidence from any antitrust enforcer. The Tunney Act
7 expressly contemplates that the Court may allow intervention to aid its determination whether a
8 settlement is in the public interest, and to hold hearings and take testimony from government
9 officials, experts, and other witnesses as necessary, and obtain documents. 15 U.S.C. § 16(f).⁹

10 A thorough review is warranted here, and it can be accomplished efficiently. For
11 example, as parties, the States will be able to probe the facts that need to be brought to the
12 Court’s attention efficiently. As discussed above, upon becoming parties, the States would issue
13 straightforward initial discovery requests, which the present parties should be able to quickly
14 respond to and produce. Efficient initial discovery would allow the States to determine what, if
15 any, further discovery should be conducted and to offer the Court a specific proposal for an
16 evidentiary hearing. Intervention would therefore not cause undue delay, nor unduly prejudice
17 any party.

18 Accordingly, the States should be granted permissive intervention. *See Wright &*
19 *Miller’s Federal Practice & Procedure* § 1912 (3d ed. 2025) (the “whole thrust” of the rule is to
20 “allow[] intervention liberally to governmental agencies and officers seeking to speak for the
21 public interest”).

22
23
24 ⁹ That Tunney Act proceedings in cases of great importance, such as this one, may take time, was
25 expressly contemplated by Congress in passing the Act. *See The Antitrust Procedures and*
26 *Penalties Act: Hearings on S. 782 and S. 1088 Before the Subcomm. on Antitrust & Monopoly*
27 *of the S. Comm. on the Judiciary, 93d Cong. 151 (1973) (testimony from Judge W.J. Skelly*
28 *Wright that in cases of great importance, the Tunney Act “would require judicial time,*
necessarily so, and I believe rightfully so”). Any potential delay, therefore, would not be undue
delay, but necessary to serve the purpose of the Tunney Act.

1 **B. The States Are Entitled to Permissive Intervention Because They Have Claims**
2 **That Share Common Questions of Law or Fact With the Action.**

3 Under Rule 24(b)(1), a “court may permit anyone to intervene who: . . . (B) has a claim
4 or defense that shares with the main action a common question of law or fact.” Fed. R. Civ. P.
5 24(b)(1)(B). The States have authority to assert, and seek to protect through the Tunney Act
6 proceedings, the same claim that the United States asserted in its Complaint at the outset of this
7 case. The same questions of law and fact are therefore present in the States’ claim as in the main
8 action. And the States’ concerns that the Settlement is against the public interest share the same
9 questions of law and fact that the Court will consider in its Tunney Act review. Permissive
10 intervention should also be granted on this alternate ground.

11 **III. The States’ Motion Satisfies Rule 24’s Pleading Requirement.**

12 Rule 24(c) states that a motion for intervention “must state the grounds for intervention
13 and be accompanied by a pleading that sets out the claim or defense for which intervention is
14 sought.” Fed. R. Civ. P. 24(c). The Ninth Circuit has made clear that the “purely technical”
15 requirement of a pleading should be excused as long as the movant has stated its interest such
16 that the court is “apprised of the grounds for the motion.” *Westchester Fire Ins. Co. v. Mendez*,
17 585 F.3d 1183, 1188 (9th Cir. 2009); *see also Smith*, 651 F.2d at 1326 (treating memorandum in
18 support of motion to intervene “sufficient to satisfy the requirements of Rule 24(c)”). This
19 motion, along with the States’ attached Tunney Act comment, clearly asserts the States’ interest
20 and the technical requirement of attaching a pleading should therefore be excused.

21 Nevertheless, if the Court were to require a pleading, the States believe that the
22 allegations in the United States’ Complaint will likely have evidentiary support, and if so, after
23 an opportunity for reasonable discovery to examine the record, the States would adopt the United
24 States’ Complaint as their own. Such adoption would satisfy the pleading requirement. *See*
25 *Westchester Fire*, 585 F.3d at 1188 (quoting *Wright & Miller, supra*, § 1914) (“If the intervenor
26 is content to stand on the pleading an existing party has filed, it is difficult to see what is
27 accomplished by adding to the papers in the case a new pleading that is identical in its

1 allegations with one that is already in the file.”).

2 **CONCLUSION**

3 For the reasons set forth in this motion, the States respectfully request that the Court grant
4 the Motion for Intervention, and grant such other relief as the Court deems just and proper.
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